

## TECHNICAL TIP #10

There are many advantages to understanding an ethylene oxide (EO) sterilization process when considering the different sterilization options. A knowledge of the process may prevent unnecessary product testing or may identify product changes which may be required prior to attempting to sterilize with EO. The following information, although generic in nature, is designed to provide basic information on the ethylene oxide sterilization process.

The EO sterilization process has been employed by the health care industry to sterilize medical devices since the early 1940s (Griffith and Hall – 1940, 1943)<sup>1</sup>. Although not as well controlled as the processes of today, the anatomy of the process itself remains remarkably similar to the earlier process designs. One can attribute these similarities to the nature of the gas itself.

### Simple But Effective

In its pure form (100 percent), EO vapor is flammable and explosive when allowed to mix with as little as a 3 percent air by volume<sup>2</sup>. To safely utilize the gas as an industrial sterilant, the process is designed using cycle phases which are delivered in such a manner that will never allow the process to enter into an unsafe condition. The process may be described in a very simple form as follows:

- Using a vacuum-tight chamber, an initial vacuum is drawn to remove air and prevent an unsafe mixture when EO is injected. After the vacuum is complete, moisture, usually in the form of steam, is added to the chamber to replace that moisture which is lost during the initial vacuum phase.
- Next in the sequence is the introduction of the EO gas to a predetermined concentration. The concentration has been selected to assure an adequate sterilization process is delivered. Finally, after the product is allowed to soak in the EO for a controlled and predetermined amount of time, a series of washes is performed to rid the chamber of the EO. A wash consists of pulling a vacuum followed by a pressurization with an inert gas, which is usually nitrogen. The vacuum and pressurization processes are repeated for a predetermined number of repetitions until the chamber atmosphere is below the flammability limit of 3% for EO.

### A Simple EO Process In Detail

#### 1. Environmental Preconditioning

Most of the sterilization processes of today start with conditioning of the products to be sterilized outside of the sterilization chamber. Preconditioning is usually performed in a room which has been specially designed to heat and humidify the products to a stable internal temperature and moisture content prior to entering the chamber. This will assure that the sterilization process is reproducible regardless of external influences such as varying climatic conditions.

Items for consideration:

- Be aware of the need to heat and humidify the product for 12 to 72 hours. Packaging integrity and its ability to withstand preconditioning conditions of a nominal 118°F (47°C) and 65 percent relative humidity must be taken into consideration. Emphasis should be placed on corrugate strength and stability over time in the harsh environment.
- Once preconditioning is complete, the products are placed in a heated chamber which has been designed to withstand the extreme pressures realized when delivering the sterilization process.

#### 2. Initial Evacuation

To safely deliver the 100 percent EO process, at least 97 percent of the air must be removed from the chamber. Today, the two most common methods of accomplishing this requirement are (1) pulling a deep vacuum, or (2) performing a series of partial vacuums followed by a series of nitrogen injections. This combination, when performed using an adequate number of repetitions, will purge (remove) the air, thus allowing the process to be performed safely.

Items for consideration:

- The initial vacuum rate is designed and controlled for the product and its ability to withstand pressure changes. The evacuation rate is selected to assure package integrity is maintained by allowing the air trapped inside the package to vent without destroying the seal integrity. This will assure that the package's sterile barrier properties are maintained, thus ultimately protecting the sterility of the product once the process is complete.

- The amount of negative pressure (vacuum) designed into the process is dictated by the pressure sensitivity of the product. Some devices and/or components are not designed to withstand deep vacuums and/or high pressures. Subjecting them to the extreme pressures required to deliver a deep vacuum or **100 percent EO** process will result in burst packaging and damaged products.
- For those pressure sensitive products, the shallow vacuum or **nitrogen soft cycle** is utilized for processing. During a nitrogen soft cycle, an initial shallow vacuum is drawn followed by a nitrogen injection. The combination of the vacuum and nitrogen injection is called a nitrogen wash. This process is repeated several times (several nitrogen washes) to assure an adequate removal of air from the vessel.
- Although less demanding on packaging seal integrity, the nitrogen soft cycle is the less desirable when compared to the 100 percent EO process. By performing the additional washes, which are required for safety purposes, additional time is added to the total process. This delays product release and, when using a contract sterilization service, adds additional cost which is based on actual sterilizer time.

### 3. Humidification

The total inactivation of microorganisms using EO is attained when sterilizing conditions are met within the chamber. The four active ingredients required to deliver a successful process are:

- Heat
- Moisture
- Gas concentration
- Time

During the previous preconditioning step, heat and moisture were added to the product to a predetermined or stable condition. When the initial evacuation phase of the process is performed, the product can lose a significant amount of moisture. This moisture must be replaced prior to introducing the EO. This is accomplished by adding humidity in the form of steam injections. The amount of steam required is calculated to yield a predetermined relative humidity<sup>3</sup>. After the addition of steam, the product is allowed to dwell or soak for the amount of time required to replace the moisture lost from the evacuation phase.

Items for consideration:

- The humidification phase of the process can subject the product to elevated levels of moisture and heat. Care should be taken when selecting packaging to assure that the corrugate strength is adequate to withstand the process.

- The levels of moisture are determined by the process design scientist to assure adequate moisture content within the product for sterilization purposes. Care is exercised to prevent overheating when injecting steam. It is extremely important to identify any temperature limitations prior to initial process design. If necessary, the process design scientist can adjust cycle parameters of an existing processes to compensate for product sensitivity to heat. The cost of the adjustment may be added time to the entire process.

### 4. Gas Injections and Gas Dwell

After the humidification phase, liquid EO is first heated into a gaseous phase, then injected into the chamber. The amount of gas or gas concentration<sup>3</sup> is dependent on two primary factors which are addressed during cycle design.

The most important factor is to assure that the minimum gas concentration required to achieve sterility within the product is attained. This minimum concentration must be balanced against the second factor, which is the maximum amount of gas that can be injected before difficulties arise due to high levels of post-sterilization EO residuals.

After the gas has been injected, the exposure phase of the process is performed. This is the phase in which the product is exposed to heat, relative humidity, and gas for a predetermined amount of time. As a rule of the thumb, the more difficult the product is to sterilize, the longer the exposure time. The amount of exposure time is determined by the process design scientist, after careful analysis of the product, load configuration, and desired level of sterility. Preliminary laboratory experiments may be needed prior to validation execution.

Items for consideration:

- The time expended for the exposure phase of the process is dictated by the ability of the gas to penetrate or soak into all areas of the product required to be sterile. Careful consideration of the sterilization method during product design will ultimately result in an optimized sterilization process, which will save time as well as reduce the costs of processing.

### 5. Postexposure Gas Purge and Air Inbleed

After the exposure phase of the process, all gas must be removed from the chamber until the levels of EO fall below the flammable limit for the gas (3 percent or 30,000 ppm). This is accomplished by performing a series of post-vacuums, each followed with a nitrogen backfill (wash).

A maximum working pressure for the washes is selected by the process design scientist to assure that the products, which may have been softened during the exposure phase of the process, will not be damaged. An ample number of washes is performed to reduce product residues and facilitate safe handling of the product after processing.

Items for consideration:

- Postexposure washes are very similar to the washes performed during initial evacuation for shallow vacuum nitrogen soft cycles. However, in the case of the 100 percent EO processes, the procedure is similar but deeper vacuums are utilized.

## 6 Heated Aeration

To reduce the amount of residence time in the vessel, products after sterilization are usually placed in a heated room for additional removal of the residual gases. The rooms are maintained at elevated temperatures and the outgassed residues are continuously removed from the room and scrubbed. The aeration rooms help contain any airborne EO and continually reduce the in-product residues. After aeration, the load is moved to the warehouse for storage until release.

Items for consideration:

- The additional aeration time and heat accelerates the outgassing process. The amount of time required to clean the products is a factor of the product material composition and the intended use of the device (blood contact, mucus membrane contact, topical, etc.). New guidelines are due to be published from the Association for the Advancement of Medical Instrumentation (AAMI), which will provide additional guidance for the ISO 10993-7<sup>4</sup> standard currently in use in the United States and on international markets.

## References

1. Griffith and Hall, L.A. 1940, Sterilization Process. US Patent No. 2, 189,947. Griffith and Hall, L.A. 1943, Sterilization Process, US Patent No. re. 22,284
2. 1984 Flammability Data on EO-N<sub>2</sub>-Air Mixtures at 1 Atmosphere, Danbury, CT, Union Carbide, 1984
3. AAMI TIR No. 15 – 1997. Ethylene Oxide Sterilization Equipment, Process Considerations, and Pertinent Calculations
4. ISO 10993-7, Biological evaluation of medical devices – Part 7: Ethylene oxide sterilization residuals, International Organization of Standardization, 2008

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